

# SMALL ENTITY DECLARATION

APPLICANT OR PATENTEE Gertrud HÖTTEN et al

SERIAL NO. 08/679,048

☐ PATENT NO. \_\_\_\_\_

ATTORNEY'S

DOCKET NO. P564-6010

☒ FILED OR ISSUED July 12, 1996

☐ SUBMITTED HEREWITH \_\_\_\_\_

☐ NEW GROWTH/DIFFERENTIATION FACTOR OF TGF- $\beta$  FAMILY

(Insert Title)

I (we) hereby declare that I (we) am (are) entitled to the benefit of small entity status with respect to the above-identified application or patent for purposes of paying reduced fees under 35 U.S.C. § 541(a) and (b) to the U.S. Patent and Trademark Office.

☐ A. **INDEPENDENT INVENTOR**

I (we) qualify as (an) independent inventor(s) as defined in 37 C.F.R. § 1.9(c).

☐ B. **INDIVIDUAL NON-INVENTOR**

I (we) would qualify as (an) independent inventor(s) as defined in 37 C.F.R. § 1.9(c) if I had made the invention.

☒ C. **SMALL BUSINESS CONCERN**

I am ☐ THE OWNER ☒ AN OFFICIAL of the small business concern identified below and am empowered to act on behalf of the concern. The concern qualifies under 37 C.F.R. § 1.9(d) and 13 C.F.R. § 121.3-18. Rights under contract or law have been conveyed to and remain with the concern and are exclusive unless a checkmark is placed here ☐. All other rights belong to small entities as defined in 37 C.F.R. § 1.9.

☐ D. **NON-PROFIT ORGANIZATION**

I am an official empowered to act on behalf of the non-profit organization identified below. The organization qualifies under 37 C.F.R. § 1.9(e), subsection: ☐ (1) ☐ (2) ☐ (3) ☐ (4). Rights under contract or law have been conveyed to and remain with the organization and are exclusive unless a checkmark is placed here ☐. All other rights belong to small entities as defined in 37 C.F.R. § 1.9.

I (we) acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate [37 C.F.R. § 1.28(b)].

I (we) declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

A. **INDEPENDENT INVENTORS(S)**

B. **INDIVIDUAL NON-INVENTOR(S)**

_____ Name	_____ Signature	_____ Date
_____ Name	_____ Signature	_____ Date
_____ Name	_____ Signature	_____ Date

C. **BUSINESS CONCERN**

D. **NON-PROFIT ORGANIZATION**

Biopharm Gesellschaft ZUR BIOTECHNOLOGISCHEN ENTWICKLUNG

Name of Concern or Organization

von Pharmaka mbH, Czernyring 22, 69115  
Heidelberg, Federal Republic of Germany  
Address

By Michael Paulista

Name of Person Signing

Signature

Managing Director

Title

October 8, 1996

Date